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FEB 20 2002

INFORMATION DISCLOSURE  
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| Application Number   | 10/008,567       |
| Filing Date          | November 5, 2001 |
| Confirmation Number  | 6682             |
| First Named Inventor | Douglas F. Covey |
| Group Art Unit       | 1614             |
| Examiner Name        |                  |

Sheet 1 of 5 Attorney Docket No. WSHU 2044.1

**U.S. PATENT DOCUMENTS**

| Examiner Initials* | Cite No. <sup>1</sup> | U.S. Patent Document |                                      | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document<br>MM-DD-YYYY |
|--------------------|-----------------------|----------------------|--------------------------------------|---|---|
|                    |                       | Number               | Kind Code <sup>2</sup><br>(if known) |   |   |
| S/M                | 1                     | 3,472,885            |                                      | Bucourt et al.                                  | 10-14-1969  |
|                    | 2                     | 4,330,540            |                                      | Zeelen  | 05-18-1982  |
|                    | 3                     | 4,617,298            |                                      | Bodor et al.                                    | 10-14-1986  |
|                    | 4                     | 4,786,647            |                                      | Simpkins et al.                                 | 11-22-1988  |
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|                    | 10                    | 5,859,001            |                                      | Simpkins et al.                                 | 01-12-1999  |
|                    | 11                    | 5,877,169            |                                      | Simpkins  | 03-02-1999  |
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| S/M                | 14                    | 5,972,923            |                                      | Simpkins et al.                                 | 10-26-1999  |

Examiner Signature

*S. Huang*

Date Considered

5/11/03

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|      |    |           |    |                 |            |
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## FOREIGN PATENT DOCUMENTS

| Examiner Initials* | Cite No. <sup>1</sup> | Foreign Patent Document |                     |                                   | Name of Patentee or Applicant of Cited Document         | Date of Publication of Cited Document MM-DD-YYYY | T <sup>6</sup> |
|--------------------|-----------------------|-------------------------|---------------------|-----------------------------------|---|--|----------------|
|                    |                       | Office                  | Number <sup>4</sup> | Kind Code <sup>2</sup> (if known) |   |  |                |
| 8/18               | 18                    | CA                      | 1 167 837           |                                   | Akzo N.V.   | 05-22-1984                                       |                |
|                    | 19                    | EP                      | 0 069 424           | B1                                | Akzo N.V.   | 01-12-1983                                       |                |
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|                    | 22                    | WO                      | 97/03661            | A1                                | University of Florida Research Foundation, Incorporated | 02-06-1997                                       |                |
|                    | 23                    | WO                      | 99/26630            | A1                                | University of Florida Research Foundation, Incorporated | 06-03-1999                                       |                |
|                    | 24                    | WO                      | 00/63228            | A1                                | Schering Aktiengesellschaft                             | 10-26-2000                                       |                |
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| Examiner Signature | <i>John</i> | Date Considered | 5/1/03 |
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| Examiner Name        |                  |

Sheet 3 of 5 Attorney Docket No. WSHU 2044.1

**OTHER ART - NON PATENT LITERATURE DOCUMENTS**

| Examiner Initials* | Cite No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.  | T <sup>6</sup> |
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Examiner Signature

S. Huang

Date Considered

5/16/03

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| Examiner Name        |                  |

Sheet 4 of 5 Attorney Docket No. WSHU 2044.1

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| 39 | MOORADIAN, J. Steroid Biochem. Molec. Biol., Vol. 45 (1993) pp. 509-511.   |
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| 41 | E. NIKI et al. "Antioxidants in Relation to Lipid Peroxidation" Chemistry and Physics of Lipids, Vol. 44, Nos. 2-4 (1987) pp. 227-253.   |
| 42 | T. PATTON et al. "Estrogens. IV. The Synthesis of 2- and 4-Alkylestrones" Journal of Organic Chemistry, Vol. 27, No. 3 (1962) pp. 910-914.   |
| 43 | E. J. PERES et al. "762.7 - Structure-Activity Relationship of Estratrienes Against Glutamate Toxicity in a Mouse Hippocampal Cell Line" Presented at the 26th Soc. for Neuroscience Meeting, 11/4/00 to 11/9/00.                                  |
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|                    |                 |                 |                |
|--------------------|-----------------|-----------------|----------------|
| Examiner Signature | <i>S. Hwang</i> | Date Considered | <i>5/11/03</i> |
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| SMA | 53 | S-H. YANG et al. "Neuroprotective Effects of a Novel Non-Receptor Binding Estrogen Analogue During Stroke" Presented at the 26th Soc. for Neuroscience Meeting, 11/4/00 to 11/9/00.  |  |
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| Examiner Signature | <i>Zhu Huang</i> | Date Considered | 5/11/03. |
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| Sheet   | 1 | of                       | 1                |
|   |   | Attorney Docket No.      | WSHU 2044.1      |

## **OTHER ART - NON PATENT LITERATURE DOCUMENTS**

|                       |                |                    |        |
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| Examiner<br>Signature | <i>S. Gray</i> | Date<br>Considered | 5/1/03 |
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| Examiner Name        | Shaojia A. Jiang |

Sheet 1 of 1 Attorney Docket No. WSHU 2044.1

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|                    |                       | Number               | Kind Code <sup>2</sup><br>(if known) |   |   |
| SAJ                | 57                    | 5,552,395            |                                      | Gemmill, Jr. et al.                             | 09-03-1996  |

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| SAJ                | 58                    | DE                      | 199 17 930          | A1                                   | Schering AG                                     | 10-19-2000  |                |

## OTHER ART - NON PATENT LITERATURE DOCUMENTS

|                    |                       |  |                |
|--------------------|-----------------------|--|----------------|
| Examiner Initials* | Cite No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>6</sup> |
| SAJ                | 59                    | P.S. GREEN et al. "ENT-Estradiol Exerts Neuroprotective Effects in vitro and in vivo" Society for Neuroscience Abstracts, Vol. 25, No. 1/2 (10/23/99) p. 1849.   |                |
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